

Dictatorship Test towards 3SAT

- Since $c = \pm b$ and b is uniform and independent of a , c is also uniform and independent of a .
- We have $\Pr[bc = 1] = \Pr[c = b] = \Pr[a = -1] \cdot (1 - \delta) = (1 - \delta)/2$, and $\Pr[bc = -1] = (1 + \delta)/2$, and thus $\mathbf{E}[bc] = -\delta$.
- If $a = 1$, then $b = -c$, and thus $abc = -1$ (and also $(a, b, c) \neq (1, 1, 1)$). Hence $\Pr[abc = 1] = \Pr[a = 1] \cdot \Pr[b \neq c | a = 1] = \delta/2$, and $\Pr[abc = -1] = 1 - \delta/2$, implying $\mathbf{E}[abc] = \delta - 1$.

The completeness: If $f = \text{Dict}_i$, then $f(x) \vee f(y) \vee f(z)$ iff $(x_i, y_i, z_i) \neq (1, 1, 1)$, which always holds for (x_i, y_i, z_i) chosen as above.

Dictatorship Test towards 3SAT, expectations

$$\mathbf{E}_x[f(x)] = \sum_{\alpha} \hat{f}_{\alpha} \mathbf{E}_x[\chi_{\alpha}(x)] = \hat{f}_{\emptyset} = 0,$$

and similarly

$$\mathbf{E}_y[f(y)] = \mathbf{E}_z[f(z)] = \mathbf{E}_{x,y}[f(x)f(y)] = \mathbf{E}_{x,z}[f(x)f(z)] = 0.$$

$$\begin{aligned}\mathbf{E}_{y,z}[f(y)f(z)] &= \sum_{\alpha,\beta} \hat{f}_{\alpha} \hat{f}_{\beta} \mathbf{E}_{y,z}[\chi_{\alpha}(y)\chi_{\beta}(z)] \\ &= \sum_{\alpha,\beta} \hat{f}_{\alpha} \hat{f}_{\beta} \prod_{i \in \alpha \setminus \beta} \mathbf{E}[y_i] \prod_{i \in \beta \setminus \alpha} \mathbf{E}[z_i] \prod_{i \in \alpha \cap \beta} \mathbf{E}[y_i z_i] \\ &= \sum_{\alpha} \hat{f}_{\alpha}^2 (-\delta)^{|\alpha|}.\end{aligned}$$

Dictatorship Test towards 3SAT, more expectations

$$\begin{aligned}\mathbf{E}_{x,y,z}[f(x)f(y)f(z)] &= \sum_{\alpha,\beta,\gamma} \hat{f}_\alpha \hat{f}_\beta \hat{f}_\gamma \mathbf{E}_{x,y,z}[\chi_\alpha(x)\chi_\beta(y)\chi_\gamma(z)] \\ &= \sum_{\alpha,\beta,\gamma} \hat{f}_\alpha \hat{f}_\beta \hat{f}_\gamma \prod_i \mathbf{E}_{x_i,y_i,z_i}[(x \upharpoonright \alpha)_i (y \upharpoonright \beta)_i (z \upharpoonright \gamma)_i] \\ &= \sum_{\alpha \subseteq \beta} \hat{f}_\alpha \hat{f}_\beta^2 \prod_{i \in \beta \setminus \alpha} \mathbf{E}[y_i z_i] \prod_{i \in \alpha} \mathbf{E}[x_i y_i z_i] \\ &= \sum_{\alpha \subseteq \beta} \hat{f}_\alpha \hat{f}_\beta^2 (-\delta)^{|\beta|-|\alpha|} (\delta - 1)^{|\alpha|}.\end{aligned}$$

Dictatorship Test towards 3SAT, the soundness

$$\begin{aligned}T &= (8 - (1 + f(x))(1 + f(y))(1 + f(z)))/8 \\&= (7 - f(x) - f(y) - f(z) - f(x)f(y) - f(x)f(z) - f(y)f(z) \\&\quad - f(x)f(y)f(z))/8\end{aligned}$$

$$\begin{aligned}8\varepsilon &\leq 8\mathbf{Pr}_{x,y,z}[\text{accepts}] - 7 = 8\mathbf{E}_{x,y,z}[T] - 7 \\&= -\mathbf{E}_{x,y,z}[f(x) + f(y) + f(z) + f(x)f(y) + f(x)f(z)] \\&\quad - \mathbf{E}_{y,z}[f(y)f(z)] - \mathbf{E}_{x,y,z}[f(x)f(y)f(z)] \\&= -\sum_{\alpha} \hat{f}_{\alpha}^2(-\delta)^{|\alpha|} - \sum_{\alpha \subseteq \beta} \hat{f}_{\alpha} \hat{f}_{\beta}^2(-\delta)^{|\beta|-|\alpha|} (\delta - 1)^{|\alpha|}.\end{aligned}$$

- C such that $(1 - 2\delta + 2\delta^2)^C \leq \varepsilon^2$.
- γ such that $2^C \gamma \leq \varepsilon$.

If $|\hat{f}_\alpha| \leq \gamma$ for every α such that $|\alpha| < C$:

$$\begin{aligned}
 & \left| \sum_{\alpha \subseteq \beta} \hat{f}_\alpha \hat{f}_\beta^2 (-\delta)^{|\beta|-|\alpha|} (\delta - 1)^{|\alpha|} \right| \\
 & \leq \sum_{\alpha \subseteq \beta, |\beta| < C} |\hat{f}_\alpha| \hat{f}_\beta^2 \delta^{|\beta|-|\alpha|} (1-\delta)^{|\alpha|} + \sum_{\alpha \subseteq \beta, |\beta| \geq C} |\hat{f}_\alpha| \hat{f}_\beta^2 \delta^{|\beta|-|\alpha|} (1-\delta)^{|\alpha|} \\
 & \leq \max_{|\beta| < C} \sum_{\alpha \subseteq \beta} |\hat{f}_\alpha| \delta^{|\beta|-|\alpha|} (1-\delta)^{|\alpha|} + \max_{|\beta| \geq C} \sum_{\alpha \subseteq \beta} |\hat{f}_\alpha| \delta^{|\beta|-|\alpha|} (1-\delta)^{|\alpha|} \\
 & \leq 2^C \gamma + \max_{|\beta| \geq C} \sqrt{\sum_{\alpha \subseteq \beta} \hat{f}_\alpha^2} \sqrt{\sum_{\alpha \subseteq \beta} (\delta^2)^{|\beta|-|\alpha|} ((1-\delta)^2)^{|\alpha|}} \\
 & \leq \varepsilon + \max_{|\beta| \geq C} \sqrt{(\delta^2 + (1-\delta)^2)^{|\beta|}} \leq 2\varepsilon.
 \end{aligned}$$

Similarly (easier) $\left| \sum_\alpha \hat{f}_\alpha^2 (-\delta)^{|\alpha|} \right| \leq 2\varepsilon$, a contradiction.

Max-Cut on Almost Bipartite Graphs (Hardness)

From lecture notes 8: For every $\rho \in (-1, 0)$,

- GapMax-Cut $_{(1-\rho)/2 - \varepsilon/2, \pi^{-1} \arccos \rho + \varepsilon/2}$ is NP-hard.

For $\rho = \varepsilon - 1$:

- GapMax-Cut $_{1-\varepsilon, \pi^{-1} \arccos(\varepsilon-1) + \varepsilon/2}$ is NP-hard.

We have

$$\begin{aligned}\lim_{\varepsilon \rightarrow 0} \frac{\pi - \arccos(\varepsilon - 1)}{\sqrt{\varepsilon}} &= \lim_{\varepsilon \rightarrow 0} \frac{1/\sqrt{1 - (1 - \varepsilon)^2}}{\varepsilon^{-1/2}/2} \\ &= 2 \sqrt{\lim_{\varepsilon \rightarrow 0} \frac{\varepsilon}{2\varepsilon - \varepsilon^2}} = \sqrt{2}.\end{aligned}$$

Hence, $\pi^{-1} \arccos(\varepsilon - 1) \leq 1 - \sqrt{\varepsilon}/3 - \varepsilon/2$ for sufficiently small $\varepsilon > 0$, and

- GapMax-Cut $_{1-\varepsilon, 1 - \sqrt{\varepsilon}/3}$ is NP-hard.

Max-Cut on Almost Bipartite Graphs (Algorithm)

We have $1 - \varepsilon \leq \text{OPT}(G) \leq \text{SDP}(G)$, where

$$\text{SDP}(G) = \frac{1}{|E(G)|} \max \sum_{uv \in E(G)} \frac{1 - \langle x_u | x_v \rangle}{2}$$

over all choices of vectors x_v such that $\|x_v\|_2 = 1$ for $v \in V(G)$.
For this optimal solution,

$$\frac{1}{|E(G)|} \sum_{uv \in E(G)} \langle x_u | x_v \rangle = 1 - 2\text{SDP}(G) \leq 2\varepsilon - 1.$$

Let $E' = \{uv \in E(G) : \langle x_u | x_v \rangle \leq 0\}$; note $|E'| \geq (1 - 2\varepsilon)|E(G)|$.
By lecture notes 5, SDP solution gives a cut of relative size

$$\begin{aligned} \text{ALG}(G) &= \frac{1}{\pi|E(G)|} \sum_{uv \in E(G)} \arccos \langle x_u | x_v \rangle \geq \frac{1}{\pi|E(G)|} \sum_{uv \in E'} \arccos \langle x_u | x_v \rangle \\ &\geq \frac{1 - 2\varepsilon}{\pi|E'|} \sum_{uv \in E'} \arccos \langle x_u | x_v \rangle \end{aligned}$$

$$E' = \{uv \in E(G) : \langle x_u | x_v \rangle \leq 0\}$$

$$2\varepsilon - 1 \geq \frac{1}{|E(G)|} \sum_{uv \in E(G)} \langle x_u | x_v \rangle \geq \frac{1}{|E'|} \sum_{uv \in E'} \langle x_u | x_v \rangle$$

Since $\arccos x$ is convex and decreasing on $[-1, 0]$, we have

$$\begin{aligned}\text{ALG}(G) &\geq \frac{1 - 2\varepsilon}{\pi |E'|} \sum_{uv \in E'} \arccos \langle x_u | x_v \rangle \\ &\geq \frac{1 - 2\varepsilon}{\pi} \arccos \frac{1}{|E'|} \sum_{uv \in E'} \langle x_u | x_v \rangle \\ &\geq \frac{1 - 2\varepsilon}{\pi} \arccos(2\varepsilon - 1) = 1 - \Theta(\sqrt{\varepsilon})\end{aligned}$$

Max-Cut to 2SAT

For each edge uv , we add clauses $(u \vee v)$ and $(\neg u \vee \neg v)$.
 m edges $\rightarrow n = 2m$ clauses.

- Cut of size αm :
 - Set variables on one side to true, on the other to false.
 - $2\alpha m = \alpha n$ satisfied clauses.
- At least βm un-cut edges gives at least $\beta m = \frac{\beta}{2}n$ unsatisfied clauses.

GapMax-Cut $_{1-\varepsilon, 1-\sqrt{\varepsilon}/3}$ is NP-hard \Rightarrow Gap2SAT $_{1-\varepsilon, 1-\sqrt{\varepsilon}/6}$ is NP-hard.